



**RBC Global  
Asset Management™**

# RBC Funds - Class A, C, S

## Coverdell Education Savings Account Application

Mail to: RBC Funds  
c/o U.S. Bancorp Fund Services, LLC  
PO Box 701  
Milwaukee, WI 53201-0701

Overnight Express Mail To: RBC Funds  
c/o U.S. Bancorp Fund Services, LLC  
615 E. Michigan St., FL3  
Milwaukee, WI 53202-5207

» In compliance with the USA PATRIOT Act, all mutual funds are required to obtain the following information for all registered owners and all authorized individuals: full name, date of birth, Social Security number, and permanent street address. This information will be used to verify your true identity. We will return your application if any of this information is missing, and we may request additional information for you for verification purposes. In the rare event that we are unable to verify your identity, the Fund reserves the right to redeem your account as an age-appropriate distribution at the current day's net asset value.

### 1 Designated Beneficiary | Account Holder

<input type="text"/>	<input type="text"/>	<input type="text"/>
FIRST NAME	M.I.	LAST NAME
<input type="text"/>	<input type="text"/>	
PERMANENT STREET ADDRESS (P.O. BOX NOT ACCEPTABLE)		CITY / STATE / ZIP
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Check if minor should receive statements.
SOCIAL SECURITY NUMBER	DATE OF BIRTH (MM/DD/YYYY)	

### 2 Responsible Party

<input type="text"/>	<input type="text"/>	<input type="text"/>
FIRST NAME	M.I.	LAST NAME
<input type="text"/>	<input type="text"/>	
PERMANENT STREET ADDRESS (P.O. BOX NOT ACCEPTABLE)		CITY / STATE / ZIP
<input type="text"/>	<input type="text"/>	<input type="text"/>
DAYTIME PHONE NUMBER	RELATIONSHIP TO DESIGNATED BENEFICIARY	SOCIAL SECURITY NUMBER
<input type="text"/>	<input type="text"/>	<input type="text"/>
BIRTHDATE (MM/DD/YYYY)	DRIVERS LICENSE OR STATE I.D. NUMBER	STATE OF ISSUE
<input type="text"/>		
EMAIL ADDRESS		

**The following 2 options will be added to your account. If you do not want these options, check the boxes below.**

- I. The responsible party wishes to continue to control the account after the Account Holder attains age of majority in his/her state in accordance with the terms described in the optional portion of Article V of the Coverdell Education Savings Account agreement.
  - The responsible party does not wish to control the account after age of majority.
- II. The responsible party may change the beneficiary designated under this agreement to another member of the designated beneficiary's family described in Article VI of the Coverdell Education Savings Account agreement.
  - The responsible party may not change the beneficiary.

### 3 Account Type

Refer to disclosure statement for eligibility requirements and contribution limits.

**Select one of the following account types:**

- Coverdell Education Savings Account (CESA)

For Tax Year \_\_\_\_\_

Rollover Account – specify the type of rollover:

- Account Holder's CESA to Account Holder's CESA
- Qualifying Family Member's CESA to Account Holder's CESA
- Transfer Account – a direct transfer from current CESA custodian.

### 4 Investment Choices

- By check:** Make check payable to the RBC Funds.

*Note: Generally, cashier's checks of \$10,000 or less, money orders of any amount and third party checks are not accepted.*

- By wire:** Call 800-422-2766.

*Note: A completed application is required in advance of a wire.*

**Investment Amount**

*\$250 Minimum*

*\$100 AIP Reduced Minimum*

- |   |    |                      |
|---|----|----------------------|
| <input type="checkbox"/> RBC SMID Cap Growth Fund<br>Class A 1426 | \$ | <input type="text"/> |
| <input type="checkbox"/> RBC SMID Cap Growth Fund<br>Class C 1427 | \$ | <input type="text"/> |
| <input type="checkbox"/> RBC SMID Cap Growth Fund<br>Class S 1432 | \$ | <input type="text"/> |
| <input type="checkbox"/> RBC Enterprise Fund<br>Class A 1433      | \$ | <input type="text"/> |
| <input type="checkbox"/> RBC Enterprise Fund<br>Class C 1434      | \$ | <input type="text"/> |
| <input type="checkbox"/> RBC Enterprise Fund<br>Class S 1437      | \$ | <input type="text"/> |
| <input type="checkbox"/> RBC Small Cap Core Fund<br>Class A 1438  | \$ | <input type="text"/> |
| <input type="checkbox"/> RBC Small Cap Core Fund<br>Class C 1439  | \$ | <input type="text"/> |
| <input type="checkbox"/> RBC Small Cap Core Fund<br>Class S 1451  | \$ | <input type="text"/> |

## 4 Investment Choices *continued*

- RBC Microcap Value Fund  
Class A 1452 \$
- RBC Microcap Value Fund  
Class C 1453 \$
- RBC Microcap Value Fund  
Class S 1455 \$

## 5 Automatic Investment Plan (AIP)

*Your signed Application must be received at least 15 business days prior to initial transaction.*

If you choose this option, funds will be automatically transferred from your bank account. Please attach a voided check or savings deposit slip to Section 8 of this application. We are unable to debit mutual fund or pass-through ("for further credit") accounts.

**Draw money for my AIP (check one):**  Weekly  Monthly  Quarterly  Semi-Annually  Annually

*If no option is selected, the frequency will default to monthly.*

\$100 minimum

<input type="checkbox"/> RBC SMID Cap Growth Fund Class A 1426	<input type="text"/> <small>AMOUNT PER DRAW</small>	<input type="text"/> <small>AIP START MONTH</small>	<input type="text"/> <small>AIP START DAY</small>
<input type="checkbox"/> RBC SMID Cap Growth Fund Class C 1427	<input type="text"/> <small>AMOUNT PER DRAW</small>	<input type="text"/> <small>AIP START MONTH</small>	<input type="text"/> <small>AIP START DAY</small>
<input type="checkbox"/> RBC SMID Cap Growth Fund Class S 1432	<input type="text"/> <small>AMOUNT PER DRAW</small>	<input type="text"/> <small>AIP START MONTH</small>	<input type="text"/> <small>AIP START DAY</small>
<input type="checkbox"/> RBC Enterprise Fund Class A 1433	<input type="text"/> <small>AMOUNT PER DRAW</small>	<input type="text"/> <small>AIP START MONTH</small>	<input type="text"/> <small>AIP START DAY</small>
<input type="checkbox"/> RBC Enterprise Fund Class C 1434	<input type="text"/> <small>AMOUNT PER DRAW</small>	<input type="text"/> <small>AIP START MONTH</small>	<input type="text"/> <small>AIP START DAY</small>
<input type="checkbox"/> RBC Enterprise Fund Class S 1437	<input type="text"/> <small>AMOUNT PER DRAW</small>	<input type="text"/> <small>AIP START MONTH</small>	<input type="text"/> <small>AIP START DAY</small>
<input type="checkbox"/> RBC Small Cap Core Fund Class A 1438	<input type="text"/> <small>AMOUNT PER DRAW</small>	<input type="text"/> <small>AIP START MONTH</small>	<input type="text"/> <small>AIP START DAY</small>
<input type="checkbox"/> RBC Small Cap Core Fund Class C 1439	<input type="text"/> <small>AMOUNT PER DRAW</small>	<input type="text"/> <small>AIP START MONTH</small>	<input type="text"/> <small>AIP START DAY</small>
<input type="checkbox"/> RBC Small Cap Core Fund Class S 1451	<input type="text"/> <small>AMOUNT PER DRAW</small>	<input type="text"/> <small>AIP START MONTH</small>	<input type="text"/> <small>AIP START DAY</small>
<input type="checkbox"/> RBC Microcap Value Fund Class A 1452	<input type="text"/> <small>AMOUNT PER DRAW</small>	<input type="text"/> <small>AIP START MONTH</small>	<input type="text"/> <small>AIP START DAY</small>

## 5 Automatic Investment Plan (AIP) *continued*

RBC Microcap Value Fund  
Class C 1453

AMOUNT PER DRAW

AIP START MONTH

AIP START DAY

RBC Microcap Value Fund  
Class S 1455

AMOUNT PER DRAW

AIP START MONTH

AIP START DAY

### ***Please keep in mind that:***

- There is a fee if the automatic purchase cannot be made (assessed by redeeming shares from your account).
- Participation in the plan will be terminated upon redemption of all shares.

## 6 Telephone and Internet Options

*Your signed application must be received at least 15 business days prior to initial transaction.*

**Purchase (EFT)** \$100 minimum – permits the purchase of shares from your bank account.

*Attach a voided check to Section 8.*

**Exchange** \$100 minimum – permits the exchange of shares between identically registered accounts.

## 7 Letter of Intent

I agree to the terms of the Letter of Intent set forth in the prospectus. Although I am not obligated to do so, it is my intention to invest over a 13-month period in shares of the RBC Funds on which a sales load has been paid an aggregate amount equal to at least:

\$25,000    \$50,000    \$100,000    \$250,000    \$500,000    \$750,000    \$1,000,000 or more

## 8 Voided Check for Bank Information

*Please attach a voided check or savings deposit slip to this application if you chose the Automatic Investment Plan. We are unable to debit or credit mutual fund or pass-through ("for further credit") accounts. Please contact your financial institution to determine if it participates in the Automated Clearing House system (ACH).*

John Doe  
Jane Doe  
123 Main St.  
Anytown, USA 12345

53289

Pay to the order of \_\_\_\_\_ \$ \_\_\_\_\_

\_\_\_\_\_ DOLLARS

Memo \_\_\_\_\_ Signed \_\_\_\_\_

⋮ 1 2 3 4 5 ⋮ 6 7 8 ⋮

⋮ 1 2 3 4 5 6 7 8 5 6 7 8 ⋮

## 9 E-Delivery Options

### I would like to:

- Receive prospectuses, annual reports and semi annual reports electronically
- Receive statements electronically
- Receive tax statements electronically

By selecting any of the above options, you agree to waive the physical delivery of the prospectus, fund reports, account statements and/or tax forms. If you have opted to receive your statements or tax forms electronically, you will need to establish on-line access to your account, which you may do once your account has been established by visiting [www.rbcgam.us](http://www.rbcgam.us).

**Please note, you must provide your email address in Section 2 to enroll in eDelivery.**

## 10 Beneficiary Information (Due To Death)

*If you need more space, please enclose a separate sheet of paper.*

### Primary

NAME	RELATIONSHIP	CITY/STATE/ZIP	SOCIAL SECURITY NUMBER	DATE OF BIRTH	%
NAME	RELATIONSHIP	CITY/STATE/ZIP	SOCIAL SECURITY NUMBER	DATE OF BIRTH	%
NAME	RELATIONSHIP	CITY/STATE/ZIP	SOCIAL SECURITY NUMBER	DATE OF BIRTH	%

### Secondary

NAME	RELATIONSHIP	CITY/STATE/ZIP	SOCIAL SECURITY NUMBER	DATE OF BIRTH	%
NAME	RELATIONSHIP	CITY/STATE/ZIP	SOCIAL SECURITY NUMBER	DATE OF BIRTH	%
NAME	RELATIONSHIP	CITY/STATE/ZIP	SOCIAL SECURITY NUMBER	DATE OF BIRTH	%

## 11 Signature

✓ I have read and understand the Disclosure Statement and Custodial Account Agreement. I adopt the RBC Funds Custodial Account Agreement, as it may be revised from time to time, and appoint the Custodian or its agent to perform those functions and appropriate administrative services specified. I have received and read the prospectus for the RBC Funds (the "Fund"). I understand the Fund's objectives and policies and agree to be bound to the terms of the prospectus. I acknowledge and consent to the householding (i.e. consolidation of mailings) of documents such as prospectuses, shareholder reports, proxies, and other similar documents. I may contact the Fund to revoke my consent. I agree to notify the Fund of any errors or discrepancies within 45 days after the date of the statement confirming a transaction. The statement will be deemed to be correct, and the Fund and its transfer agent shall not be liable if I fail to notify RBC Funds within such time period. I certify that I, as the Responsible Party, am of legal age and have the legal capacity to make this purchase.

✓ I understand that the fees relating to my account may be collected by redeeming sufficient shares. The Custodian may change the fee schedule at any time.

✓ Your mutual fund account may be transferred to your state of residence if no activity occurs within your account during the inactivity period specified in your State's abandoned property laws.

✓ I authorize the Fund to perform a credit check based on the information provided, if necessary.

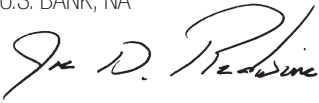
✓ The Fund, its transfer agent, and any officers, directors, employees, or agents of these entities (collectively "RBC Funds") will not be responsible for banking system delays beyond their control. By completing sections 5 or 6, I authorize my bank to honor all entries to my bank account initiated through U.S. Bank, NA, on behalf of the applicable Fund. RBC Funds will not be liable for acting upon instructions believed to be genuine and in accordance with the procedures described in the prospectus or the rules of the Automated Clearing House. When AIP or Telephone Purchase transactions are presented, sufficient collected funds must be in my account to pay them. I agree that my bank's treatment and rights to respect each entry shall be the same as if it were signed by me personally. I agree that if any such entries are dishonored with good or sufficient cause, my bank shall be under no liability whatsoever. I further agree that any such authorization, unless previously terminated by my bank in writing, is to remain in effect until the Fund's transfer agent receives and has had reasonable amount of time to act upon a written notice of revocation.

X

DEPOSITOR / LEGALLY RESPONSIBLE INDIVIDUAL'S SIGNATURE

DATE (MM/DD/YYYY)

Appointment as Custodian accepted:  
U.S. BANK, NA



## 12 Dealer Information

DEALER NAME

REPRESENTATIVE'S LAST NAME FIRST NAME M.I.

DEALER'S ID BRANCH ID

REPRESENTATIVE'S ID

### DEALER HEAD OFFICE INFORMATION:

### REPRESENTATIVE BRANCH OFFICE INFORMATION:

ADDRESS

ADDRESS CODE

CITY / STATE / ZIP

CITY / STATE / ZIP

TELEPHONE NUMBER

TELEPHONE NUMBER

## ! Before you mail, have you:

- Completed all USA PATRIOT Act required information?
  - Social Security or Tax ID Number in Section 1 & 2?
  - Birth Date in Section 1 & 2?
  - Full Name in Section 1 & 2?
  - Permanent street address in Section 1 & 2?

- Enclosed your check made payable to RBC Funds?
- Included a voided check, if applicable?
- Signed your application in Section 11?

**For additional information please call toll-free 800-422-2766 or visit us on the web at [www.rbcgam.us](http://www.rbcgam.us).**